

[cgw235] Atty Dkt No. : CGW-235.1US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

George H. Beall and David L. Weidman

Serial No.

09/203,166

Filed

December 1, 1998

For

ATHERMAL OPTICAL DEVICE

Examiner

A. Ullah

Group

2874

Commissioner of Patents and Trademarks Washington, D.C. 20231

## **CERTIFICATE OF MAILING**

I hereby certify that the following correspondence attached hereto is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date below:

- 1. Supplemental Information Disclosure Statement (2 pages)
- 2. Supplemental Modified 1449 Form (1 page)
- 3. Cited References (2 references)
- 4. Certificate of Mailing (1 page; this page)
- 5. Return Receipt Postcard (1 card)

Date Mailed:

December 26, 2000

Date Signed

Maurice M. Klee, Ph.D.

JAN 0 2 2001 ESTE

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PATENT

TC 2800 MAIL ROOM

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## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 CFR §1.56, 37 CFR §1.97(c) and 37 CFR §1.97(e)(2), applicants wish to call the Examiner's attention to the patents listed on the Supplemental Modified 1449 Form submitted herewith.

These patents were cited in a September 27, 2000 Office Action regarding applicants' parent application No. 09/101,630 (the '630 application). Prior to receiving the September 27th Office Action, neither of these patents had been associated with the '630 application or with the present application. However, Dannoux et al. U.S. Patent No. 4,943,130 (Reference 39) is assigned to Corning Incorporated, the assignee of the present application, and thus in a general sense this patent is "known" to Corning.

Subject to the foregoing, the undersigned certifies that these patents were not cited in a communication from a foreign patent office in a

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counterpart foreign application or known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement. Accordingly no fee under 37 CFR §1.97(c)(2) is believed to be due for this submission. However, if such a fee is due, applicants request that the Commissioner charge the fee to Deposit Account No. 11-1158.

The Examiner is respectfully requested to initial a copy of the Supplemental Modified 1449 Form and return it to applicants to indicate consideration of the references listed thereon in connection with the prosecution of this application.

Respectfully submitted,

Date: 12/26/00

Maurice M. Klee, Ph.D.

Reg. No. 30,399

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